



# HARIYANA

## SHIP BREAKERS LTD

May 25, 2019

**BSE Limited**

Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001

**Subject: Annual Secretarial Compliance Report pursuant to Regulation 24A of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Regulations")**

**Ref.: SEBI Circular- CIR/CFD/CMD1/27/2019 dated February 08, 2019**

**Scrip Code: 526931 ISIN : INE400G01011**

With reference to the captioned subject and in compliance with SEBI Circular- CIR/CFD/CMD1/27/2019 dated February 08, 2019, please find enclosed Annual Secretarial Compliance Report pursuant to Regulation 24A of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Regulations").

Kindly take the same on record

The above is for your information.

Thanking you.

Yours faithfully,

**For Hariyana Ship-Breakers Limited**

Kirti Desai

**Authorised Signatory**



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**Registered Office:** 156 – Maker Chambers VI, 220, Jammalal Bajaj Marg,  
Nariman Point, Mumbai- 400 021

Tel: 022 – 22043211; Fax- 22043215 E-mail: [contact@hariyanagroup.com](mailto:contact@hariyanagroup.com)

**CIN No. L61100MH1981PLC024774 Web Site:** [www.hariyanagroup.com](http://www.hariyanagroup.com)

**ISO Certified (14001:2004/ 9001:2008/ 30000:2009)**



SECRETARIAL COMPLIANCE REPORT  
of  
HARIYANA SHIP BREAKERS LIMITED  
for the year ended 31<sup>st</sup> March 2019

We have examined:

- (a) all the documents and records made available to us and explanation provided by **HARIYANA SHIP BREAKERS LIMITED** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification for the year ended 31<sup>st</sup> March, 2019 ("period under review") in respect of compliance with the provisions of :
  - (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
  - (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (NOT APPLICABLE)
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (NOT APPLICABLE)
- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; (NOT APPLICABLE)
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

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(j) and circulars/ guidelines issued thereunder;

and based on the above examination, We hereby report that, during the period under review:

- (a) The Listed Entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under.
- (b) The Listed Entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.
- (c) There have been no actions taken against the Listed Entity / its promoters/ directors either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder.
- (d) This being first report of its kind, the Listed Entity has no observations to comply with, in accordance with the previous such reports.

For **DILIP BHARADIYA & ASSOCIATES**



**DILIP BHARADIYA**

**Proprietor**

**FCS No.: 7956., C P No.: 6740**

Place : Mumbai

Date : 23<sup>rd</sup> May, 2019

